

United States Bankruptcy Court  
Southern District of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP)  
(Jointly Administered)

**PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of  
the partial transfer, other than for security, of an undivided interest in the claim referenced in this  
evidence and notice.

Name of Transferee  
Quintessence Fund LP

Name of Transferor  
Piney Branch Park Inc.

Name and Address where notices to transferee  
should be sent:

Court Claim #: 21150  
Amount of Claim: \$3,496,091.00  
Date Claim Filed: 09/21/2009

Quintessence Fund LP  
c/o QVT Associates GP LLC  
1177 Avenue of the Americas, 9<sup>th</sup> Floor  
New York, NY 10036  
Attn: Julian Sale  
Email: [julian.sale@qvt.com](mailto:julian.sale@qvt.com)

Amount Transferred: \$193,457.00

Name and address where transferee payments  
should be sent (if different from above):

Tel:  
Last Four Digits of Acct #:

I declare under penalty of perjury that the information provided in this notice is true and correct to the  
best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

By:   
Transferee/Transferee's Agent

Date: 2/27/2014

EVIDENCE OF PARTIAL TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York ("Bankruptcy Court")  
Attn: Clerk

AND TO: Lehman Brothers Holdings Inc. ("Debtor")  
Case No. 08-13555 (JMP) (Jointly Administered)

Proof of Claim Number 21150

**Piney Branch Park Inc.**, its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

**Quintessence Fund LP**, its successors and assigns ("Buyer"), all its rights, title and interest in and to Proof of Claim Number 21150, solely to the extent of the face amount of \$193,457.00 (the "Assigned Claim"), against the Debtor in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Assigned Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Assigned Claim and recognizing the Buyer as the sole owner and holder of the Assigned Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Assigned Claim to Buyer at the following address:

**Quintessence Fund LP**  
c/o QVT Associates GP LLC  
1177 Avenue of the Americas, 9<sup>th</sup> Floor  
New York, NY 10036  
Attn: Julian Sale  
Email: [julian.sale@qvt.com](mailto:julian.sale@qvt.com)

*[Signatures on next page]*

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Partial Transfer of Claim  
by its duly authorized representative dated February 27, 2014.

**Piney Branch Park Inc.,**  
by its investment manager, QVT Financial LP,  
by its general partner, QVT Financial GP LLC

By: \_\_\_\_\_  
Name: Julian Sale  
Title: Authorized Signatory

By: \_\_\_\_\_  
Name: Mike Brumm  
Title: Authorized Signatory

**Quintessence Fund LP,**  
by its general partner, QVT Associates GP LLC

By: \_\_\_\_\_  
Name: Julian Sale  
Title: Authorized Signatory

By: \_\_\_\_\_  
Name: Mike Brumm  
Title: Authorized Signatory